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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002 OMB 0651-0032U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                                  |
|------------------------|----------------------------------|
| Attorney Docket No.    | FALK:01-02                       |
| First Inventor         | Falkiner, Charles L.             |
| Title                  | PERSONAL ALERT AND RESCUE SYSTEM |
| Express Mail Label No. |                                  |

A  
JC879 U.S. PTO  
10/07/3384  
02/12/02  
**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 23]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. /

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|   |   |           |  |
|---|---|-----------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label<br>(Insert Customer No. or Attach bar code label here) | or <input checked="" type="checkbox"/> Correspondence address below |           |  |
| Name  | Bradley D. Goldizen<br>c/o Pender & Coward, P.C.                    |           |  |
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| Country   | USA   | Telephone | 757-490-6263                             |
| Name (Print/Type)   | Bradley D. Goldizen   |           | Registration No. (Attorney/Agent) 43,637 |
| Signature   |   |           | Date 1/25/02                             |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 424.00)

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          |                      |
| First Named Inventor | Falkiner, Charles L. |
| Examiner Name        |                      |
| Group Art Unit       |                      |
| Attorney Docket No.  | FALK:01-02           |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity  | Small Entity  | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) |                        |          |
| 101 740       | 201 370       | Utility filing fee     | 370.00   |
| 106 330       | 206 165       | Design filing fee      |          |
| 107 510       | 207 255       | Plant filing fee       |          |
| 108 740       | 208 370       | Reissue filing fee     |          |
| 114 160       | 214 80        | Provisional filing fee |          |

SUBTOTAL (1) (\$ 370.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------|----------------|----------|
| 26           | -20** = 6          | x 9          | = 54           |          |
| 3            | - 3** = 0          | x 0          | = 0            |          |
|              |                    |              | 0              | 0        |

| Large Entity  | Small Entity  | Fee Description  |
|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) |  |
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 84        | 202 42        | Independent claims in excess of 3                          |
| 104 280       | 204 140       | Multiple dependent claim, if not paid                      |
| 109 84        | 209 42        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 54.00)

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

Complete if applicable

| SUBMITTED BY      |   |                                   |               |                        |
|-------------------|---|-----------------------------------|---------------|------------------------|
| Name (Print/Type) | Bradley D. Goldizen   | Registration No. (Attorney/Agent) | 43,637        | Telephone 757-490-6263 |
| Signature         |  |                                   | Date 11/25/02 |                        |

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Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**RE: My Client: Falkiner et al.  
Non-Provisional Application Form**

Dear Sir/Madam:

Please find enclosed the following:

1. Non-Provisional Application;
2. Utility Patent Application Transmittal;
3. Fee Transmittal Form – Original and Duplicate;
4. Declaration for Utility or Design Patent Application;
5. Certified check in the amount of \$424.00 made payable to the Commissioner of Patents and Trademarks;
6. Self-addressed, stamped postcard.

Please date stamp and return the postcard via U.S. Postal mail.

Thanking you, I am,

Very truly yours,

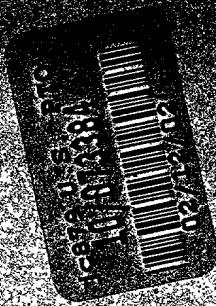
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Enclosures



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